

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
0267-1415CIP8In Re Application Of: **Frantz Germain et al.**Serial No.
09/812,875Filing Date
March 20, 2001Examiner
Danny NguyenGroup Art Unit
2836Title: **RESET LOCKOUT FOR SLIDING LATCH GFCI**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0267-1415CIP8

In Re Application: Frantz Germain et al.

SEP 30 2004

Serial No.

09/812,875

Filing Date

March 20, 2001

Examiner

Danny Nguyen

Group Art Unit

2836

RESET LOCKOUT FOR SLIDING LATCH GFCI

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 12-1185 as described below.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Augusta D. Rogers

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: September 29, 2004

Paul J. Sutton

Reg No. 24, 201

Greenberg Traurig, LLP

885 Third Avenue

New York, New York 10022

Tel: 212.801.2108

CC:



Handwritten: P/W/B, 2836, \$

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FREE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/812,875
Filing Date March 20, 2001
First Named Inventor Frantz Germain
Examiner Name Danny Nguyen
Art Unit 2836
Attorney Docket No. 0267-1415CIP8

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

12-1185

Leviton Manufacturing Co.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type)

Paul J. Sutton

Registration No.
(Attorney/Agent)

24,201

(Complete (if applicable))

Telephone 212-801-2108

Signature

Date

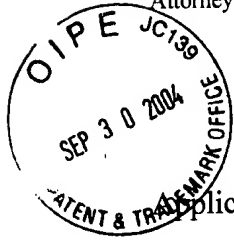
09/29/2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application of: Frantz Germain et al.
Serial No.: 09/812,875
Date Filed: March 20, 2001
For: **RESET LOCKOUT FOR SLIDING LATCH GFCI**
Group Art Unit: 2836
Examiner: Danny Nguyen

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant's duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the references are provided.

It is respectfully requested that the information cited in the attached Form PTO-/SB/08A be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

Applicants would also like to bring the Examiner's attention to the following commonly owned co-pending U.S. Letters Patents and Pending Patent Applications:

Serial No.: 10/909,610 filed : 08/02/2004

Serial No.: 10/747,484 filed : 12/29/2003

Serial No.: 10/779,211	filed : 02/13/2004
Patent No.: 6,717,782	issued : 06/04/2004
Patent No.: 10/818,626	issued : 04/05/2004
Patent No.: 6,646,838	issued : 11/11/2003
Serial No.: 10/702,571	filed : 11/06/2003
Patent No.: 6,381,112	issued : 04/30/2002
Serial No.: 10/613,667	filed : 07/03/2003
Patent No.: 6,040,967	filed : 03/21/2001
Serial No.: 10/724,973	filed : 12/01/2003
Serial No.: 10/166,338	filed : 03/21/2001
Patent No.: 6,657,834	issued : 12/02/2003
Serial No.: 09/175,228	filed : 10/20/1998 (abandoned)
Patent No.: 6,246,558	issued : 06/12/2001
Serial No.: 10/223,284	filed : 08/19/2002
Serial No.: 09/829,339	filed : 04/09/2001
Serial No.: 10/166,337	filed : 03/21/2001
Serial No.: 09/905,713	filed : 03/19/2001
Serial No.: 10/613,667	filed : 07/03/2003
Serial No.: 09/812,288	filed : 03/20/2001
Serial No.: PCT/US99/19319	publication date: 03-02-2000
Serial No.: PCT/US00/22955	publication date: 03-01-2001
Serial No.: PCT/US01/32562	publication date: 04-25-2002

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability or available as prior art.

The Commissioner is hereby authorized to charge the \$180.00 fee for submission of an Information Disclosure Statement required by 37 C.F.R. § 1.17(p) to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc. Fee Transmittal (PTO/SB/17) in duplicate is attached.

The Commissioner is also hereby authorized to charge any extra fees which may be required and/or credit any overpayment to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc.. A duplicate copy of this sheet is enclosed for that purpose.

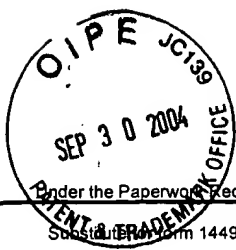
Early and favorable consideration of the case is respectfully requested.

Dated: September 29, 2004

Respectfully submitted,



Paul J. Sutton, Esq.
Registration No. 24,201
Attorney for Applicants
Greenberg Traurig, LLP
885 Third Avenue, 21st Floor
New York, NY 10022
Tel. (212) 801-2100



PTO/SB/08A (08-03)

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Subsidiary Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/812,875
Filing Date	March 20, 2001
First Named Inventor	Frantz Germain et al
Art Unit	2836
Examiner Name	Danny Nguyen
Attorney Docket Number	0267-1415CIP8

Sheet 1 of 5

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 5,875,087	02-1999	Spencer et al.	
	2	US- 6,282,070	08-2001	Ziegler et al.	
	3	US- 6,381,112	04-2002	DiSalvo et al.	
	4	US- 6,437,953	08-2002	DiSalvo et al.	
	5	US- 6,437,700	08-2002	Herzfeld et al.	
	6	US- 6,671,145	12-2003	Germain et al.	
	7	US- 6,288,882	09-2001	DiSalvo et al.	
	8	US- 6,282,070	08-2001	Ziegler et al.	
	9	US- 6,646,838	11-2003	Ziegler et al.	
	10	US- 6,381,112	04-2002	DiSalvo	
	11	US- 6,040,967	03-2000	DiSalvo	
	12	US- 6,657,834	12-2003	DiSalvo	
	13	US- 5,625,285	04-1997	Virgilo et al.	
	14	US- 4,967,308	10-1990	Morse	
	15	US- 5,600,524	02-1997	Neiger et al.	
	16	US- 5,694,280	12-1997	Zhou	
	17	US- 5,847,913	12-1998	Turner et al.	
	18	US- 5,933,063	08-1999	Keung et al.	
	19	US- 5,963,408	10-1999	Neiger et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	1	PCT/US99/19319	03-2000	Leviton Manufa.		
	2	PCT/US00/22955	03-2001	Leviton Manufa.		
	3	PCT/US01/32562	04-2002	Leviton Manufa.		
	4	WO 96/01484 A	01-1996	Power Breaker P		
	5	EP 0 526 071 A	02-1993	PDL Holdings Lt		
	6	Australian No 759587	07-2003	Leviton Manufa.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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STATEMENT BY APPLICANT**

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Application Number	09/812,875
Filing Date	March 20, 2001
First Named Inventor	Frantz Germain
Art Unit	2836
Examiner Name	Danny Nguyen
Attorney Docket Number	0267-1415CIP8

Sheet 2 of 5

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	20	US- 5,517,165	05-1996	Cook	
	21	US- 5,661,623	08-1997	McDonald et al.	
	22	US- 5,956,218	09-1999	Berthold	
	23	US- 5,617,284	04-1997	Paradise	
	24	US- 5,555,150	09-1996	Newman	
	25	US- 4,901,183	02-1990	Lee	
	26	US- 4,587,588	05-1986	Goldstein	
	27	US- 5,418,678	05-1995	McDonald	
	28	US- 5,448,443	09-1995	Muelleman	
	29	US- 4,521,824	06-1985	Morris et al.	
	30	US- 5,229,730	07-1993	Legatti et al.	
	31	US- 5,477,412	12-1995	Neiger et al.	
	32	US- 5,956,218	09-1999	McDonald et al.	
	33	US- 5,875,087	02-1999	Spenser et al.	
	34	US- 5,148,344	09-1992	Astley et al.	
	35	US- 3,702,418	11-1972	Robert Obenhaus	
	36	US- 4,574,260	03-1986	Terry E. Franks	
	37	US- 5,805,397	09-1998	Raymond MacKenzie	
	38	US- 3,309,571	03-1967	Clyde Gilker	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	7	UK 830,018	03-1960	Michael Gerrad		
	8	UK 2,207,823	02-1989	Ian Pickering		
	9	GB 2,290,181 A	12-1995	John A. Pollman		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Name	Danny Nguyen
Attorney Docket Number	0267-1415CIP8

Sheet 3 of 5

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		Number-Kind Code ² (if known)			
		US-			
	39	US- 3,538,477	11-1970	Walters et al.	
	40	US- 3,872,354	03-1975	Nestor et al.	
	41	US- 3,949,336	04-1976	Robert E. Dietz	
	42	US- 4,034,360	07-1977	Schweitzer Jr.	
	43	US- 4,063,299	12-1977	Ronald G. Munroe	
	44	US- 4,223,365	09-1980	Richard J. Moran	
	45	US- 4,316,230	02-1982	James E. Hansen	
	46	US- 4,442,470	04-1984	John J. Misencik	
	47	US- 5,555,150	09-1996	Newman	
	48	US- 4,567,456	01-1986	Raymond H. Legatti	
	49	US- 4,578,732	03-1986	Charles W. Draper	
	50	US- 4,631,624	12-1986	Dorak et al.	
	51	US- 4,979,070	12-1990	Lawrence E. Bodkin	
	52	US- 5,815,363	09-1998	Wai Hang Chu	
	53	US- 5,825,602	10-1998	Tosaka et al.	
	54	US- 5,844,765	12-1998	Kato et al.	
	55	US- 5,943,198	08-1999	Hirsh et al.	
	56	US- 6,580,344	06-2003	Li Chengli	

FOREIGN PATENT DOCUMENTS

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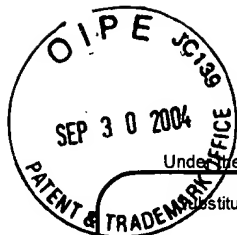
Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-03)

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Application Number	09/812,875
Filing Date	March 20, 2001
First Named Inventor	Frantz Germain
Art Unit	2832
Examiner Name	Danny Nguyen
Attorney Docket Number	0267-1415CIP8

Sheet 4 of 5

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/812,875
Filing Date	March 20, 2001
First Named Inventor	Frantz Germain
Art Unit	2836
Examiner Name	Danny Nguyen
Attorney Docket Number	0267-1415CIP8

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